IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of:

WARREN M. FARNWORTH SALMAN AKRAM

Serial No. 09/266,237

Art Unit: 2829

Filina Date: March 10, 1999

Examiner: KOBERT, R.

For:

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SEMICONDUCTOR COMPONENTS AND

METHOD OF FABRICATION

Attorney Docket No. 97-1433

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 APRIL 26, 2004

MAIL STOP AMENDMENT (NON FEE) Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed on the accompanying Form PTO/SB/08, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art under 35 USC §102.

It is requested that the above citations be made of record, if appropriate, in the prosecution of this application.

04/30/2004 FMETEKI1 00000047 09266237

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Respectfully submitted:

Stephen A. Gratton, Registration No. 28,418

Attorney of Record

2764 South Braun Way Lakewood, CO 80228 Telephone: 303 989 6353

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: MAIL STOP NON FEE AMENDMENT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 26th day of April, 2004.

At 16, 2004

stephen A. Graffon, Attorney of Record

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known | | |
|------------------------|---------------------|--|
| Application Number | 09/266,237 | |
| Filing Date | 03/10/1999 | |
| First Named Inventor | WARREN M. FARNWORTH | |
| Art Unit | 2829 | |
| Examiner Name | KOBERT, R. | |
| Attorney Docket Number | 97-1433 | |

| | U. S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|------------------------|--|--------------------------------|--|---|--|--|--|
| Examiner Initials* | Cite No.1 | Document Number Number-Kind Code ^{2 (# known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | | |
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| | FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| Examiner Initials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | | | | |
| | | Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known) | MM-DD-YYYY | | Or Relevant Figures Appear | Τ ⁶ | | | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 180.00

| Complete if Known | | | | |
|----------------------|---------------------|--|--|--|
| Application Number | 09/266,237 | | | |
| Filing Date | 03/10/1999 | | | |
| First Named Inventor | WARREN M. FARNWORTH | | | |
| Examiner Name | KOBERT, R. | | | |
| Art Unit | 2829 | | | |
| Attorney Docket No. | 97-1433 | | | |

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| Deposit Number Deposit Number Deposit Number Deposit Number Deposit Number Deposit Stephen A. Gratton 1052 50 2052 26 Surcharge - late filing fee or oath Deposit 1052 50 2052 27 Surcharge - late filing fee or oxiver sheet 1052 50 2052 27 Surcharge - late provisional filing fee or oxiver sheet 1052 50 2052 27 Surcharge - late provisional filing fee or oxiver sheet 1052 50 2052 27 Surcharge - late provisional filing fee or oxiver sheet 1053 130 1053 130 Non-English specification 1053 130 Non-English specification 1053 130 Non-English specification 1054 2052 Prof filing a request for expart reexamination 1053 130 Non-English specification 1054 2052 Prof filing a request for expart reexamination 1053 130 Non-English specification 1053 130 Non-English specification 1053 130 Non-English specification 1053 130 Non-English specification 1054 2052 Prof filing a request for expart reexamination 1053 130 Non-English specification 1054 2052 Prof filing a request for expart reexamination 1054 2052 Prof filing a request for expart reexamination 1054 2052 Prof filing a request for expart reexamination 1054 2052 Prof filing a request for expart reexamination 1054 2052 Prof filing a request for expart reexamination 1054 2052 Prof filing a request for expart reexamination 1054 2052 Prof filing a request for expart reexamination 1055 130 Non-English specification 1055 130 | | | | | |
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| 1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1451 1,510 2452 55 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unintentional 1501 1,330 2501 665 Utility issue fee (or reissue) 1502 480 2502 240 Design issue fee 1503 640 2503 320 Plant issue fee 1503 640 2503 320 Plant issue fee 1503 640 130 Petitions to the Commissioner 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) 1806 180 1806 180 Submission of Information Disclosure Stmt 1806 180 1806 180 Submission of Information Disclosure Stmt 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1802 900 1802 900 Request for continued Examination (RCE) 1804 1802 900 1802 900 Request for continued Examination 1804 1805 180 | 1002 340 2002 170 Design filing fee | 1401 330 2401 165 Notice of Appeal | | | |
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| Extra Claims | SUBTOTAL (1) (\$) | 1452 110 2452 55 Petition to revive - unavoidable | | | |
| Total Claims | 2 FYTRA CLAIM FEES FOR LITTLITY AND REISSUE | 1453 1,330 2453 665 Petition to revive - unintentional | | | |
| Total Claims | Fee from _ | 1501 1,330 2501 665 Utility issue fee (or reissue) | | | |
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| Multiple Dependent Large Entity Small Entity Fee Fee Fee Fee Code (\$) 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims over original patent 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) 1808 180 180 Submission of Information Disclosure Stmt 1809 1800 180 Submission of Information Disclosure Stmt 1800 1801 1802 8021 40 8021 40 Recording each patent assignment per property (times number of properties) 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1800 1801 770 2801 385 For each additional invention to be examined (37 CFR 1.129(b)) 1801 770 2801 385 Request for Continued Examination (RCE) 1801 770 2801 385 Request for expedited examination of a design application 1801 770 2801 385 Request for expedited examination of a design application 1801 770 2801 385 Request for expedited examination of a design application | Independent 2** - V | 71 | | | |
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| SUBMITTED BY | | | (Complete | (Complete (if applicable)) | | |
|-------------------|-------------------|--|-----------|----------------------------|--|--|
| Name (Print/Type) | Stephen A Gratton | Registration No. (Attorney/Agent) 28,418 | Telephone | 303 989 6353 | | |
| Signature | | | Date | 4/26/2004 | | |

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